

Tracy Lake Improvement District No. 1
Statement of Assets, Liabilities & Net Assets
 As of September 22, 2015

	Sep 22, 15
ASSETS	
Current Assets	
Checking/Savings	
10000 · Checking	12,934.39
10100 · Assessment Bond Account	15.60
10200 · F & M Money Market	12,010.45
10300 · Money Market Acct	155,768.56
Total Checking/Savings	180,729.00
Total Current Assets	180,729.00
TOTAL ASSETS	180,729.00
LIABILITIES & EQUITY	
Equity	
32000 · Unrestricted Net Assets	771,339.12
Net Income	-590,610.12
Total Equity	180,729.00
TOTAL LIABILITIES & EQUITY	180,729.00

Tracy Lake Improvement District No. 1
Statement of Revenue & Expenses
 January 1 through September 22, 2015

Jan 1 - Sep 22, 15

Ordinary Income/Expense	
Income	
Grant	290,000.00
Warrants B	1,200,000.00
40000 · Semi-Annual Assessment Payment	28,400.00
Total Income	1,518,400.00
Expense	
Construction Contractor	1,182,939.29
Permits	559.00
60000 · Advertising	66.00
60100 · Bank Fees	40.00
60200 · Consultant	25,340.00
60250 · Wetlands Delineation	
60250-2 · Task 04	5,533.73
Total 60250 · Wetlands Delineation	5,533.73
60300 · Engineering Fees	
Electric	0.00
PG & E Contract 1184892	55,642.45
60300 · Engineering Fees - Other	20,899.22
Total 60300 · Engineering Fees	76,541.67
60400 · Interest Expense	6,400.00
60500 · Legal Fees	
Corps Appeal 014	3,748.02
EBMUD Petitions 010	2,191.65
General	1,992.00
Improvement District Warrants	8,831.70
Procurement	7,371.00
Recharge Project 003	7,077.50
Water Right Petitions 002	7,007.04
Total 60500 · Legal Fees	38,218.91
60600 · Office Supplies	368.58
60700 · Travel	1,643.60
60750 · Professional Fees	1,111.49
60800 · Warrant Expense #1	40,000.00
Total Expense	1,378,762.27
Net Ordinary Income	139,637.73
Other Income/Expense	
Other Income	
70000 · Interest Income	321.90
Total Other Income	321.90
Other Expense	
Bank Error	0.00
Total Other Expense	0.00
Net Other Income	321.90
Net Income	139,959.63

Tracy Lake Improvement District No. 1
Statement of Revenue & Expenses
July 1 through September 22, 2015

	Jul 1 - Sep 22, 15
Ordinary Income/Expense	
Income	
Grant	207,000.00
Total Income	207,000.00
Expense	
Construction Contractor	775,659.33
60100 · Bank Fees	0.00
60200 · Consultant	10,780.00
60250 · Wetlands Delineation	
60250-2 · Task 04	5,533.73
Total 60250 · Wetlands Delineation	5,533.73
60300 · Engineering Fees	612.50
60500 · Legal Fees	
Corps Appeal 014	971.50
General	297.00
Improvement District Warrants	2,340.00
Procurement	270.00
Recharge Project 003	412.50
Total 60500 · Legal Fees	4,291.00
60600 · Office Supplies	0.49
60700 · Travel	770.50
60750 · Professional Fees	255.00
Total Expense	797,902.55
Net Ordinary Income	-590,902.55
Other Income/Expense	
Other Income	
70000 · Interest Income	91.38
Total Other Income	91.38
Other Expense	
Bank Error	-201.05
Total Other Expense	-201.05
Net Other Income	292.43
Net Income	-590,610.12

Tracy Lake Improvement District No. 1

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Register: 10000 · Checking

From 01/01/2015 through 09/22/2015

Sorted by: Date, Type, Number/Ref

Date	Number	Payee	Account	Memo	Payment	C	Deposit	Balance
01/19/2015	8987	Tolson, Poore' & Za...	-split-	September acctg	85.48	X		5,231.18
01/19/2015	8990	Wagner & Bonsignore	60500 · Legal Fees:Wa...		2,146.76	X		3,084.42
02/06/2015			Grant	Deposit		X	30,000.00	33,084.42
02/17/2015	8991	Walter E. Sadler	-split-	TL 531	904.60	X		32,179.82
02/17/2015	8992	Walter E. Sadler	-split-	TL 532	2,973.81	X		29,206.01
02/17/2015	8993	Tolson, Poore' & Za...	-split-	January acctg	85.48	X		29,120.53
02/17/2015	8994	ARC	60750 · Professional F...		346.49	X		28,774.04
02/17/2015	8995	Griffith & Masuda	-split-	Sept 2014-Jan ...	13,284.70	X		15,489.34
02/17/2015	8996	Spaletta Law PC	-split-		8,322.98	X		7,166.36
03/01/2015			Warrants B	Deposit		X	1,200,000.00	1,207,166.36
03/01/2015			60100 · Bank Fees	wire fee	20.00	X		1,207,146.36
03/01/2015	8997	SWRCB	Permits		559.00	X		1,206,587.36
03/24/2015	8998	Walter E. Sadler	-split-	TL 533	4,775.25	X		1,201,812.11
03/24/2015	8999	Ford Construction Co.	Construction Contractor		31,339.55	X		1,170,472.56
03/24/2015	9000	Walter E. Sadler	-split-	VOID: TL533		X		1,170,472.56
03/24/2015	9001	Tolson, Poore' & Za...	-split-	January acctg	85.48	X		1,170,387.08
03/24/2015	9002	Oak Valley Comm B...	10300 · Money Market...		900,000.00	X		270,387.08
03/24/2015	9003	Griffith & Masuda	-split-	Feb 2015	1,486.87	X		268,900.21
03/24/2015	9004	P G & E	60300 · Engineering F...		55,642.45	X		213,257.76
04/21/2015	9005	Tolson, Poore' & Za...	60750 · Professional F...	March Services	85.00	X		213,172.76
04/21/2015	9006	Spaletta Law PC	-split-		1,086.97	X		212,085.79
04/21/2015	9007	Ford Construction Co.	Construction Contractor		31,608.40	X		180,477.39
04/21/2015	9008	Walter E. Sadler	-split-	TL 535	4,556.00	X		175,921.39
04/21/2015	9009	Griffith & Masuda	-split-	March 2015	436.49	X		175,484.90
04/21/2015	9010	Kjeldsen, Sinnock & ...	60300 · Engineering F...		8,440.60	X		167,044.30
05/12/2015	9011	Tolson, Poore' & Za...	60750 · Professional F...	April Services	85.00	X		166,959.30
05/12/2015	9012	Ford Construction Co.	Construction Contractor		97,907.00	X		69,052.30
05/12/2015	9013	Walter E. Sadler	-split-	TL 535	1,136.25	X		67,916.05
05/12/2015	9014	NSJWCD	-split-	reimburse 10/2...	2,039.08	X		65,876.97
06/15/2015	auto	P G & E	Bank Error		201.05	X		65,675.92
06/22/2015	9015	Tolson, Poore' & Za...	-split-	May Services	85.48	X		65,590.44
06/22/2015	9016	Ford Construction Co.	Construction Contractor		246,425.01	X		-180,834.57
06/22/2015	9017	Kjeldsen, Sinnock & ...	60300 · Engineering F...	Inv 17016/16991	11,846.12	X		-192,680.69
06/22/2015	9018	Walter E. Sadler	-split-	TL 536	1,410.00	X		-194,090.69
06/22/2015	9019	Griffith & Masuda	60500 · Legal Fees:Im...	April 2015	189.00	X		-194,279.69
06/23/2015			10300 · Money Market...	Deposit		X	300,000.00	105,720.31
06/23/2015			10100 · Assessment Bo...	bank fee	40.00	X		105,680.31
06/29/2015	9020	NSJWCD	-split-		5,044.42	X		100,635.89
06/30/2015			Grant	Deposit		X	53,000.00	153,635.89
07/21/2015			60100 · Bank Fees	stop payment	30.00	X		153,605.89

Tracy Lake Improvement District No. 1

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Register: 10000 · Checking

From 01/01/2015 through 09/22/2015

Sorted by: Date, Type, Number/Ref

Date	Number	Payee	Account	Memo	Payment	C	Deposit	Balance
07/21/2015	9021	Ford Construction Co.	Construction Contractor		157,510.00	X		-3,904.11
07/21/2015	9022	Walter E. Sadler	-split-	TL 537	2,622.50	X		-6,526.61
07/21/2015	9023	Griffith & Masuda	60500 · Legal Fees:Ge...	June	81.00	X		-6,607.61
07/21/2015	9024	Sycamore Environme...	60250 · Wetlands Deli...	2013024-03	5,533.73	X		-12,141.34
07/21/2015	9025	Griffith & Masuda	-split-	June	666.00	X		-12,807.34
07/21/2015	9026	Spaletta Law PC	-split-		563.75	X		-13,371.09
07/21/2015			10300 · Money Market...	Funds Transfer		X	100,000.00	86,628.91
07/22/2015			Bank Error	bank error 6/15...		X	201.05	86,829.96
07/22/2015			60100 · Bank Fees	reversal bank fee		X	30.00	86,859.96
07/29/2015			Grant	Deposit		X	45,000.00	131,859.96
08/25/2015			Grant	Deposit		X	59,850.00	191,709.96
08/25/2015			Grant	Deposit		X	102,150.00	293,859.96
08/25/2015	9027	Ford Construction Co.	Construction Contractor		318,535.00			-24,675.04
08/25/2015	9028	Walter E. Sadler	-split-	TL 538	3,317.25			-27,992.29
08/25/2015	9029	Kjeldsen, Sinnock & ...	60300 · Engineering F...	17467	612.50			-28,604.79
08/25/2015	9030	Spaletta Law PC	60500 · Legal Fees:Re...		275.00			-28,879.79
08/25/2015	9031	Tolson, Poore' & Za...	-split-	June/July Servi...	170.49			-29,050.28
08/25/2015			10300 · Money Market...	Funds Transfer		X	100,000.00	70,949.72
09/21/2015	9033	Ford Construction Co.	Construction Contractor		299,614.33			-228,664.61
09/21/2015	9034	Walter E. Sadler	-split-	TL 539	5,610.75			-234,275.36
09/21/2015	9035	Griffith & Masuda	60500 · Legal Fees:Im...	July	108.00			-234,383.36
09/21/2015	9036	Griffith & Masuda	60500 · Legal Fees:Im...	August	2,052.00			-236,435.36
09/21/2015	9037	Tolson, Poore' & Za...	60750 · Professional F...	August Services	85.00			-236,520.36
09/21/2015	9038	Spaletta Law PC	60500 · Legal Fees:Cor...		545.25			-237,065.61
09/22/2015			10300 · Money Market...	Funds Transfer			250,000.00	12,934.39

Tracy Lake Improvement District No. 1

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Register: 10100 · Assessment Bond Account

From 01/01/2015 through 09/22/2015

Sorted by: Date, Type, Number/Ref

Date	Number	Payee	Account	Memo	Payment	C	Deposit	Balance
01/31/2015			70000 · Interest Income	Interest		X	1.05	19,989.64
03/01/2015			70000 · Interest Income	Interest		X	0.92	19,990.56
03/31/2015			70000 · Interest Income	Interest		X	0.99	19,991.55
04/30/2015			70000 · Interest Income	Interest		X	0.98	19,992.53
05/31/2015			70000 · Interest Income	Interest		X	1.02	19,993.55
06/11/2015			60100 · Bank Fees		20.00	X		19,973.55
06/23/2015			12000 · Undeposited F...	Deposit		X	28,400.00	48,373.55
06/23/2015			10200 · F & M Money ...		2,000.00	X		46,373.55
06/23/2015	6	West Coast Credit Se...	-split-	Interest pmt #6	46,400.00	X		-26.45
06/23/2015			10000 · Checking	bank fee		X	40.00	13.55
06/30/2015			70000 · Interest Income	Interest		X	1.90	15.45
07/31/2015			70000 · Interest Income	Interest		X	0.15	15.60

Tracy Lake Improvement District No. 1

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Register: 10200 · F & M Money Market

From 01/01/2015 through 09/22/2015

Sorted by: Date, Type, Number/Ref

<u>Date</u>	<u>Number</u>	<u>Payee</u>	<u>Account</u>	<u>Memo</u>	<u>Payment</u>	<u>C</u>	<u>Deposit</u>	<u>Balance</u>
01/31/2015			70000 · Interest Income	Interest		X	0.76	10,006.75
03/01/2015			70000 · Interest Income	Interest		X	0.46	10,007.21
03/31/2015			70000 · Interest Income	Interest		X	0.49	10,007.70
04/30/2015			70000 · Interest Income	Interest		X	0.50	10,008.20
05/31/2015			70000 · Interest Income	Interest		X	0.51	10,008.71
06/23/2015			10100 · Assessment Bo...	Deposit		X	2,000.00	12,008.71
06/30/2015			70000 · Interest Income	Interest		X	0.52	12,009.23
07/31/2015			70000 · Interest Income	Interest		X	0.65	12,009.88
08/31/2015			70000 · Interest Income	Interest		X	0.57	12,010.45

Tracy Lake Improvement District No. 1

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Register: 10300 · Money Market Acct

From 01/01/2015 through 09/22/2015

Sorted by: Date, Type, Number/Ref

Date	Number	Payee	Account	Memo	Payment	C	Deposit	Balance
01/31/2015			70000 · Interest Income	Interest		X	0.14	5,458.27
02/28/2015			70000 · Interest Income	Interest		X	0.13	5,458.40
03/24/2015	9002	Oak Valley Comm B...	10000 · Checking			X	900,000.00	905,458.40
03/31/2015			70000 · Interest Income	Interest		X	0.14	905,458.54
04/30/2015			70000 · Interest Income	Interest		X	74.42	905,532.96
05/31/2015			70000 · Interest Income	Interest		X	76.91	905,609.87
06/23/2015			10000 · Checking		300,000.00	X		605,609.87
06/30/2015			70000 · Interest Income	Interest		X	68.68	605,678.55
07/21/2015			10000 · Checking	Funds Transfer	100,000.00	X		505,678.55
07/31/2015			70000 · Interest Income	Interest		X	48.70	505,727.25
08/25/2015			10000 · Checking	Funds Transfer	100,000.00	X		405,727.25
08/31/2015			70000 · Interest Income	Interest		X	41.31	405,768.56
09/22/2015			10000 · Checking	Funds Transfer	250,000.00			155,768.56